Diels-Alder Cycloadditions of **Electron-Rich, Electron-Deficient, and Push-pull Dienes with Cyclic Dienophiles: High-Pressure-Induced Reactions and Theoretical Calculations**

Vicenc Branchadell, Mariona Sodupe, Rosa M. Ortuño,* and Antonio Oliva*

Departament de Quhica, Universitat Autbnoma de Barcelona, 08193 Bellaterra, Barcelona, Spain

Domingo Gomez-Pardo, André Guingant, and Jean d'Angelo*

Unit6 de Chimie Organique, UA CNRS 476, ESPCZ, 10 rue Vauquelin, 75005 Paris, France

Received August 7,1990

High-pressure-promoted $(4 + 2)$ cycloadditions of cyclic dienophiles (mainly β -angelica lactone and cyclopentenone) with electron-rich and push-pull hetero-substituted dienes have been investigated. Only one regioand stereoisomer **was** obtained in **all** cases. While these dienophiles reacted smoothly with alkoxy- and (silyloxylbutadiene derivatives, replacement of oxygen by *sulfur* resulted in a dramatic decrease in the reactivity of the dienes. On the other hand, push-pull dienes exhibited reactivity similar to that of the electron-rich dienes, in that the dienophilicity **was** not changed substantially by the influence of an electron-withdrawing group. Theoretical calculations of activation enthalpies have been *carried* out by the semiempirical **AM1** method. **Thew** values and frontier orbital considerations have permitted **ua** to interpret the experimental reaulta and to establish a relative order of reactivity of the dienes and dienophiles herein studied.

Introduction

The Diels-Alder cycloaddition is well-known to be one of the most powerful tools for organic chemists, and it **has** been the subject of numerous studiea in order to rationalize its mechanism and to explore new synthetic applications.¹⁻³

The use of *hetero-substituted dienes* has provided a useful means to achieve the regiocontrolled synthesis of a great variety of highly functionalized skeletons.⁴ Alkyland silyloxy and alkyl- and arylthio groups are among the more common substituents in the electron-rich dienes, such **as** 1- and **2-[(trimethylsilyl)oxy]-l,3-butadiene** (1 and **71,** 1-(phenylthio)-1,3-butadiene (6) ⁵ and Danishefsky's diene $(10)^6$ (Table I). On the other hand, we have developed^{7,8} a new kind of diene exhibiting 1,4-donor-acceptor substitution (push-pull dienes). They are 5-alkoxystitution (push-pull dienes). pentadienoates, 12 and 16, that show an ambident reactivity, giving adducts with either acceptor (electrondeficient) or donor (electron-rich) dienophiles,⁹ although with opposite regiochemistry.

It is worthy of note that *five-membered-ring dienophiles* such as β -angelica lactone (2) or cyclopentenone (4) have been scarcely used in $(4 + 2)$ cycloadditions with C-substituted or hetero-substituted dienes, in spite of the interest of the resulting adducts as versatile synthetic building blocks. $9-12$ In fact such cyclic compounds proved to be poor dienophilea that require high temperatures to afford adducts in good yields, and these conditions, **as** well **as** the use of strong Lewis acids as catalysts, are precluded if sensitive hetero-substituted dienes are used. In this re**spect,** *high-pressure-induced cycloadditions* are reported

- **(2)** Sauer, J.; **Sustmann,** R. *Ibid.* **1980,** *19,* **779.**
-
-
-
- (3) Oppolzer, W. Angew. Chem., Int. Ed. Engl. 1984, 23, 876.
(4) Petrzilka, M.; Grayson, J. I. Synthesis 1981, 753.
(5) Hopkins, P. B.; Fuchs, P. L. J. Org. Chem. 1978, 43, 1208.
(6) Danishefsky, S.; Kitahara, T.; Yan, C. SOC. *1979,101,6996.*
	- ...
(7) Maddaluno, J.; d'Angelo, J. *Tetrahedron Lett.* 1983, 24, 895.
(8) Guingant, A.; d'Angelo, J. *Tetrahedron Lett.* 1986, 27, 3729.
(9) Revial, G.; Blanchard, M.; d'Angelo, J. *Tetrahedron Lett.* 1983, 24,
	-
- **899.**

to occur under milder conditions than thermally activated reactions, and often with improved selectivity.¹³ For instance, this technique **has** been shown to be the best method to perform efficiently the cycloadditions of cycloalkenones and α , β -unsaturated lactones with electron-rich or push-pull dienes. 8,9,11,14,15

In this paper we present a systematic study of the Diels-Alder reactions of cyclic (mainly five-memberedring) dienophiles with a series of electronically varied dienes. Theoretical calculations were carried out to rationalize the observed reactivity and regioselectivity. A good agreement between experiments and theoretical predictions has been found in all cases. This has allowed **us** to establish general trends for the relative reactivity of the considered dienes and dienophiles, and for the regioselectivity of the corresponding cycloadditions.

Results and Discussion

Diels-Alder cycloadditions were performed under high-pressure conditions (see Experimental Section for further details). Electron-rich (1, 6, 7 and 10), push-pull (12, 16, and 20), and electron-deficient dienes (23 and 26) have been evaluated (Table I). β -Angelica lactone (2) and

⁽¹⁾ (a) Sauer, **J.** *Angew. Chem., Znt. Ed. Engl.* **1966,5211; (b) 1967,** *6,* **16.**

⁽¹⁰⁾ Ichihara, *k;* Kimura, **R;** Moriyasu, K.; Sakamura, **5.** *Tetrahedron Lett.* **1977,4331.**

⁽¹¹⁾ Ortufto, R M.; **Guingant, A;** d'Angelo, J. *Tetrahedron* Lett. **1988, 29,6989.**

⁽¹²⁾ Batllori, **R.;** Font, J.; **Modvatje,** M.; **OrtuRo,** R. M.; Shchez-Ferrando, F. *Tetrahedron* **1989,45, 1833** and references cited therein.

⁽¹³⁾ (a) Mataunoto, K.; Sera, **A,;** Uchide, T. *Synthesis* **1986,l. (b)** Mataumoto, K.; Sera, A. *Zbid.* **1985, 999.**

⁽¹⁴⁾ Laugraud, **S.; Guingant,** A.; d'Angelo, J. *Tetrahedron Lett.* **1989,** *30,83.*

⁽¹⁶⁾ Ferroud, **C.; Revial, G.; d'Angelo, J.** *Tetrahedron Lett.* **1986,26, 3981.**

Table I. High-Pressure-Induced Diels-Alder Cycloadditions of Electron-Rich (1, 6, 7, and 10), Push-Pull (12, 16, and 20), and Electron-Deficient Dienem (25 and 26) with Cyclic Dienophila 2,4,8,14,18, and 21

						conditns			
entry	diene structure				dienophile structure pressure, kbar	temp, °C	time, h	cyclo adduct structure	yield, %
$\mathbf{1}$	OTMS	$\overline{1}$	Me o Ö	$\underline{\mathbf{2}}$	${\bf 12}$	50	65	$\frac{Me}{I}$ $\frac{H}{I}$ $\overline{\mathbf{3}}$ o, $\frac{1}{H}$ n P OTMS	85 ^a
$\bf{2}$		$\pmb{1}$	ő	$\overline{4}$	$\overline{12}$	50	65	$\frac{\text{H}}{\text{s}}$ $\overline{2}$ $\frac{1}{H}$ ő OTMS	${\bf 70}$
$\mathbf{3}$	$\frac{1}{2}$	$\underline{\mathbf{6}}$		$\overline{2}$	$15\,$	55	40	None b	
4		$\underline{\mathbf{6}}$		$\overline{4}$	$15\,$	55	40	None b	
5	OTMS	$\underline{7}$	о	$\overline{2}$	12	$50\,$	65	None b	
6		$\overline{\mathbf{Z}}$	$\frac{H}{I}$ ľ Ŝ ő ` Ph	$\underline{\underline{\bf 8}}$	15	50	60	ဂူ $\frac{H}{I}$ $\frac{H}{I}$ OTMS $\overline{\mathbf{2}}$ š ő н ő Ph	75 $^{\rm c}$
$\pmb{7}$	OTMS OMe	$\underline{\mathbf{10}}$		$\overline{\mathbf{2}}$	10	40	48	$\frac{Me}{I}$ H OTMS o $\overline{\mathbf{n}}$ і Н ő $_{OMe}^{\bullet}$	90 ^a
8	CO ₂ Me ヘン OMe	12		$\overline{2}$	15	${\bf 50}$	60	$\sum_{i=1}^{Me} H \bigcup_{i=1}^{1}$ O 13 Å il O OMe	65
9		$\frac{12}{2}$	o $\frac{H}{I}$ å Ĥ. ő	14	$\overline{17}$	65	48	CO ₂ Me о $\frac{H}{I}$ H F 15 Å ة й ő OMe	$75\,^{\rm d}$
10	CO ₂ Me OMe o	$\overline{16}$		$\overline{\mathbf{z}}$	$\overline{15}$	${\bf 20}$	96	CO ₂ Me $\frac{H}{I}$ 17 i. H ΰ OMe ō	85 ^e

Cycloadditions of Dienes with Cyclic Dienophiles *J. Org. Chem., Vol. 56, No. 13, 1991* **4137**

Table I (Continued)

^a See ref 11. ^b Diene polymerized, dienophile was recovered. 'See ref 14. ^d See ref 8. 'See ref 9. 'See ref 15. 'Starting materials were recovered. ^h Substantial amounts of dienophile were recovered.

cyclopentenone **4** were chosen **as** easily available and representative five-membered cyclic dienophiles to study the cycloadditions with these dienes. The reactions of alkyl cyclohexenones **8** and **14** and 2-penten-bolide **(18)** with some dienes are also described to compare the behavior of five- and six-membered cyclic dienophiles (Table I).

Adducts were always obtained in a highly regio- and stereoselective manner, though in variable yields. Table I shows the adducts produced along with the particular experimental conditions and yields for each reaction. The stereochemistry and regiochemistry of the adducts were assigned on the basis of a careful analysis of their highresolution **'H** and 13C NMR spectra and by comparing them with those of other known related compounds.^{11,12}

Theoretical calculations were carried out by using the **AM1** meth0d.l8 Butenolide **28** and cyclopentenone **4** have been used **as** dienophiles, while butadienes substituted by hydroxyl, sulfhydryl, and carboxyl groups have been considered **as** simplified models of the dienes experimentally studied in this work (Scheme I). The theoretical results obtained for the reactions of **4** and **28** with several dienes are shown in Table 11. All reactions studied can be represented by Scheme I, in which **X, Y,** and **Z** stand for the substituents on the diene, and **W** is an oxygen atom or a methylene group, depending on the dienophile. The formation of the two possible regioisomers **a** and **b has** been considered for each reaction. Moreover, since the cycloadditions may proceed via an endo or an exo approach, both of them have been calculated. Nevertheless, only the results corresponding to the most favorable case are collected in Table 11. For this approach, the lengths of the two bonds forming, r_1 and r_2 , the charge transfer between the diene and the dienophile, *t,* and the enthalpy of activation, ΔH^* , are presented. Charge transfer between diene and dienophile fragments at the transition state **has**

 (16) Dewar, M. J. S.; Zoebisch, E. G.; Healy, E. F.; Stewart, J. J. P. J. **Am. Chem. Soc. 1986,107,3902.**

Table II. Results of the Theoretical Calculations on the Reactions of Several Dienes with 4 and 28, Respectively²

entry	substituents on the dieneb					predicted				
	$\mathbf x$	Y	\mathbf{z}	dienophile	regioisomer	stereoisomer	r_{1}	r_{2}	t^c	ΔH^{\bullet}
1	OH	H	$\mathbf H$	28	a	endo	2.07	2.24	0.197	23.9
					b	endo	2.10	2.19	0.135	26.3
$\mathbf 2$	OH	H	$\mathbf H$	$\ddot{}$		exo	2.06	2.25	0.139	25.7
					b	endo	2.09	2.18	0.092	28.2
3	SH	$\bf H$	\overline{H}	28		exo	1.98	2.34	0.160	27.8
					b	exo	2.03	2.26	0.103	29.7
4	SH	$\bf H$	$\bf H$	4	a	exo	1.97	2.35	0.121	29.8
					b	exo	2.02	2.26	0.053	31.4
5	н	OH	$\mathbf H$	28	a	exo	2.09	2.22	0.132	23.4
					b	exo	2.13	2.15	0.115	24.9
6	OH	OH	$\mathbf H$	28	a	exo	2.05	2.31	0.196	21.6
					þ	exo	2.06	2.23	0.148	27.0
7	OH	$\mathbf H$	COOH	28	a	endo	2.11	2.17	0.105	25.9
					b	endo	2.12	2.14	0.080	31.2
8	OH	$\mathbf H$	COOH	4	å	endo	2.11	2.17	0.059	27.1
					b	endo	2.12	2.13	0.032	32.7
9	SH	$\mathbf H$	COOH	28		endo	2.01	2.28	0.106	32.1
					b	endo	2.04	2.21	0.053	35.3
10	SH	$\mathbf H$	COOH	4	â	endo	2.02	2.26	0.054	33.9
					þ	endo	2.05	2.20	-0.002	36.8
11	CH ₃	H	COOH	28	a	exo	2.11	2.17	0.072	27.2
					b	exo	2.14	2.13	0.074	31.5
12	CH ₃	$\mathbf H$	COOH	4	a	exo	2.11	2.16	0.024	28.5
					b	exo	2.14	2.12	0.022	33.0
13	Н	H	COOH	28	â	exo	2.19	2.10	0.043	25.5
					b	exo	2.21	2.07	0.064	28.9
14	$\mathbf H$	$\mathbf H$	COOH	4	a	exo	2.20	2.08	-0.007	26.2
					b	exo	2.22	2.06	0.020	30.0

oTransition-state lengths of the two bonds forming, *r* **(A), charge transfer between the diene and the dienophile,** *t* **(au), and enthalpies of activation,** *AH** **(kcal/mol), are presented in all entries for the two possible regioisomers. Only the most favorable endo/exo stereoisomer is taken into account in each case. See Scheme I for the structure of the dienes. Positive when transferred to the dienophile.**

Table 111. Frontier Orbital Energies (eV) and Coefficients on the Ethylenic Carbon Atoms of the Dienes Used in the Theoretical Calculations'

		substituents					coefficients			
diene	X	Y	z	frontier orbital	energy	C_1	C ₂	C_3	C_4	
	OH	H	H	HOMO	-8.868	-0.47	-0.52	0.34	0.52	
				LUMO	0.416	-0.61	0.40	0.39	-0.53	
\mathbf{I}	SH	H	н	HOMO	-8.351	-0.33	-0.47	0.20	0.37	
				LUMO	0.133	-0.59	0.46	0.35	-0.52	
Ш	H	OH	H	HOMO	-9.218	-0.42	-0.29	0.42	0.67	
				LUMO	0.324	-0.58	0.44	0.43	-0.52	
IV	OH	OH	H	HOMO	-8.825	-0.38	-0.38	0.40	0.64	
				LUMO	0.246	-0.65	0.46	0.33	-0.45	
V	OH	H	COOH	HOMO	-9.223	-0.45	-0.54	0.29	0.53	
				LUMO	-0.547	-0.53	0.27	0.51	-0.46	
VI	SH	н	COOH	HOMO	-8.813	-0.30	-0.49	0.15	0.38	
				LUMO	-0.821	-0.53	0.32	0.47	-0.46	
VII	CH ₃	H	COOH	HOMO	-9.608	-0.52	-0.48	0.32	0.54	
				LUMO	-0.602	-0.49	0.28	0.52	-0.47	
VIII	H	H	COOH	HOMO	-9.934	-0.54	-0.43	0.38	0.57	
				LUMO	-0.623	-0.49	0.27	0.53	-0.48	

^aSee Scheme I for the numeration of the carbon atoms.

been computed from the net atomic charges over each atom, obtained from the Mulliken population analysis. One should note that entropy terms have not been taken into account throughout this work. This simplication *can* **be made reasonably, assuming that the effects of the pressure on the entropy parameters are very similar for** all the reactions considered.^{2,17b}

Nearly synchronous transition states **for all the reactions studied can be predicted by observing the values of the lengths of the two bonds forming. Which bond is formed faster depends on the nature and position of the substituents on the diene. As a general rule, when only one of**

Table IV. Frontier Orbital Energies (eV) and Coefficients on the Ethylenic Carbon Atoms of 4 and 28^a

				coefficients		
dienophile	W	frontier orbital	energy	C_{h}	C_s	
28	о	HOMO	-10.883	0.49	0.57	
		LUMO	-0.415	-0.66	0.54	
4	CH,	HOMO	-10.410	0.57	0.65	
		LUMO	-0.041	-0.63	0.46	

'See Scheme I for the numeration of the carbon atoms.

the terminal carbon atoms of the diene bears **a substituent, the shortest C-C bond distance at the transition state always involves the unsubstituted end of the diene.**

Regioselectivity. According to the values of the activation enthalpies shown in Table 11, the theoretical calculations always predict the predominant formation of

^{(17) (}a) Tietze, L. F.; Hiibsch, T.; Vow, E.; Buback, M.; Tost, W.. *J. Am. Chem. \$0~.* **1988,110,4066. (b)** Inan-, **N. S.** *Liquid Phase Hggh Pressure Chemrstry;* **Wilry Chichenter, 1981. (c) Van Eldik, R.;** *ho,* **T.; Le Noble, W. J.** *Chem. Reo.* **1989,89,** *649.*

regioisomer **a,** which corresponds to the sole compound experimentally produced in the reactions presented in Table I.

Regioselectivity in Diels-Alder reactions is usually interpreted in terms of interactions between frontier orbitals.¹⁸ Tables III and IV present the energies and coefficients on the carbon atoms for the frontier orbitals of the studied dienes and dienophils at the fully optimized geometries, respectively.

All reactions can be classified **as** normal Diels-Alder cycloadditions,2 and this implies that the most important interaction between the frontier orbitals is that involving the participation of the HOMO of the diene and the LUMO of the dienophile. The predominance of this interaction leads to a noticeable charge transfer from the diene to the dienophile at the transition states in the reactions with electron-rich dienes, **as** verifed by the *t* values (entries 1-6 in Table 11). On the other hand, both HOMO-LUMO energy differences are more similar in the reactions involving push-pull dienes (entries 7-14, Table II). Therefore, the charge transfer *t* is smaller in the latter cases, **as** expected.

From the coefficients presented in Tables I11 and IV, the preferential formation of regioisomer **a** is predicted in **all** cases, in good agreement with the calculated enthalpy barriers and with the high regioselectivity which is experimentally observed.

Stereoselectivity. With the butadiene derivatives bearing at least one substituent at the terminal carbon atoms, it has been found that all the adducts obtained correspond to an endo approach between the diene and dienophile. Regarding this stereoselectivity, the agreement between theory and experiment is not **as** satisfactory as it is for the regioselectivity. As a matter of fact, the calculations do not always give the same stereoadduct **as** the kinetically most favorable one (see Table 11).

One factor that has been offered¹⁹ in order to explain the discrepancies between theoretical and experimental results about the stereoselectivity is the fact that these reactions were carried out under high pressure in the laboratory, while theoretical calculations simulate the process in the gas phase at a very low pressure. Indeed, it is known that the formation of an endo adduct has a lower volume of activation at the transition state than that of the corresponding exo isomer. Consequently the former compound is favored under high-pressure conditions as established by the experimental findings.¹⁷

Reactivity of **Dienophiles.** From the results presented in Table I it is clear that β -angelica lactone (2) is slightly more reactive than cyclopentenone **4** toward all of the dienes tried. This fact agrees with the theoretical results collected in Table 11, according to which butenolide **28** reacts with a lower enthalpy barrier than cyclopentenone **4.** This higher reactivity *can* be related to the lower LUMO energy, **as** shown in Table **IV,** thus favoring the interaction with the HOMO of the diene.

Reactivity of **Electron-Rich Dienes.** Table I shows that, in the case of the electron-rich dienes evaluated, **2-[(trimethylsilyl)oxy]-l,3-butadiene (7)** did not react with @-angelica lactone **(2),** while the corresponding l-substituted butadiene derivative **(1)** gave cycloadduct **3** in good yield (compare entries 1 and 5)." In contrast, diene **7** reacted with cyclohexenone **8,** giving the corresponding adduct in satisfactory yield,¹⁴ although this cycloaddition required 15-kbar pressure (entry 6). The apparent low reactivity of **7** to give Diels-Alder adducts should be attributed to its easy polymerization under the reaction conditions, which competes with the cycloaddition process.

As expected, the most reactive diene is compound **10** (Danishefsky's diene), the increased reactivity of which is caused by the synergism of the two oxygen substituents. Accordingly, diene **10** gave the adduct **11** in 90% yield by reaction with the lactone 2, at only 10-kbar pressure¹¹ (entry 7, Table I).

From a theoretical point of view, the calculations for the OH-substituted butadienes predict the 1,3-disubatituted derivative to be the most reactive (entry 7, Table 11), in good agreement with the experimental results. However, the reactivity of 2-hydroxybutadiene with **28** is predicted to be of the same order **as** that of l-hydroxybutadienes (entries 5 and 1, respectively, Table **II).** This result cannot be confirmed by our experimental results, as mentioned above.

In general, *oxygen-substituted dienes have been shown to be much more reactive than the analogous sulfur deriuatiues* (see Table I). Thus, while lactone **2** and cyclopentenone **4 reacted** with diene **1** at 12-kbar pressure **giving** the respective adducts in good yields (entries 1 and **2),** diene **6** showed a total lack of reactivity toward these dienophiles, even at 15-kbar pressure (entries 3 and 4). This was not expected a priori, since several authors have pointed out the predominant role of **sulfur** over oxygen in the activation and orientation of the diene.²⁰ Nevertheless, theoretical calculations are in accordance with our experimental results. The computed activation enthalpies are about 4 kcal/mol higher for the reactions with 1 mercaptobutadiene than those for reactions with 1 hydroxybutadiene.²¹

Reactivity of Push-Pull Dienes. Surprisingly, the presence of the ester group in the push-pull dienes **12** and **16** does not diminish notably their reactivity with respect to the parent **l-[(trimethylsilyl)oxy]-l,3-butadiene (1).** Thus, these dienes added to lactones **2** and **1815** and ketones 4^9 and 14^8 , although a little more drastic reaction conditions were required than with diene **1** (entries 8-11, Table I). Once again, replacement of oxygen by sulfur in dienes **12** and **16** produces a dramatic diminution of reactivity: all attempts to effect cycloaddition of alkylthio-substituted push-pull diene **20** with dienophiles **2** and **4** were fruitless (entries 12 and 13, Table I). Nevertheless, **20** gave the expected adduct **22** almost quantitatively, by reaction with the much more reactive dienophile maleic anhydride **(21)** (entry 14, Table I). Replacement of **sulfur** by carbon, namely, the **use** of methyl sorbate **(23) as** diene, had a surprising effect: this diene reacted with dienophiles **2** and **4,** giving adducts **24** and **25,** respectively, albeit in modest yields (entries 15 and 16, Table I). Finally, an attempt to accomplish cycloaddition of **4** with methyl 1,3-pentadienonate **(26)** gave adduct **27,** resulting from the

⁽¹⁸⁾ (A) Houk, K. N. *J. Am. Chem. Soc.* **1973, I, 4092.** (b) **Fleming, I.** *Frontier Orbitole and Organic Chemical Reactiom;* **Wiley: London, 1976.**

⁽¹⁹⁾ Sodupe, M.; Oliva, A.; Bertran, J.; Dannenberg, J. J. J. Org. Chem. **1989,54,2488.**

⁽²⁰⁾ See, for instance: (a) Evans, D. A.; Bryan, C. A.; Sims, C. L. J. $Am. Chem. Soc. 1972, 94, 2891.$ (b) Cohen, T.; Mura, A. J., Jr.; Shull, D. W.; Fogel, E. R.; Ruffner, R. J.; Falck, J. R. J. (reg. Chem. 1976, 41, 3218.
(c) *Zbid.* **1983,48,3252. (0 Proteau, P. J.; Hopkine, P. B.** *Ibid.* **1986,50,141. (g) Kahn, S. D.; Pau, C. F.; Overman, L. E.; Hehre, W. J.** *J. Am. Chem.* (g) Kahn, S. D.; Pau, C. F.; Overman, L. E.; Hehre, W. J. *J. Am. Chem.*
 Soc. 1986, 108, 7381.

(21) Theoretical calculations have also been carried out for the reac-

tions of cyclopentenone 4 with the dienes 1 and 6. The computed enthalpy barriers, 26.5 and 30.1 kcal mol-', respectively, are very similar *to* **those corresponding to the reactions of 4 with the model dienes (entries 2 and 4 in Table 11).**

self-condensation of the diene, in poor yield (8%), along with polymeric materials (entry 17, Table I).

This order of reactivity of push-pull dienes is reproduced by theoretical calculations, **as** deduced from the activation enthalpies presented in Table **I1** (entries 7-14). Furthermore, the theoretical results confirm that the reactivity of dienes does not drastically change with the introduction of an electron-withdrawing group. Thus, reactions of cyclopentenone **4** and lactone **28** with 1 hydroxy-l,3-butadiene and **4-hydroxy-1,3-pentadienoic** acid, respectively, gave differences between the activation enthalpies of about 1.5-2.0 kcal/mol (compare entries **2** and 8 for **4** and entries 1 and 7 for **28** in Table **11).** These differences are much lower than those observed between the reactions of both 0-substituted electron-rich and push-pull dienes, and the related S-substituted dienes, **as** discussed above.

Conclusions

This investigation presents a general interpretation and rationalization for the behavior of unsaturated γ -lactones and cycloalkenones **as** dienophiles in Diels-Alder cycloadditions involving several kinds of hetero-substituted dienes.

On the basis of the preceding **findings, three main points** emerge: (a) butenolides are slightly more reactive than cyclopentenone; (b) the reactivity of alkoxy-substituted push-pull dienes is similar to that of the monoalkoxy-1,3-butadienes; (c) sulfur-substituted dienes are much less reactive than their oxygen and carbon counterparts.

Experimental Section

Melting points were determined on a hot stage and are corrected. Distillation of small amounts was effected in a bulb-to-bulb distillation apparatus; only the oil bath temperatures are given. Electron-impact mass spectra were recorded at **70** eV.

General Procedure for Theoretical Calculations. The **AM116** method was used in the energy calculations. Total geometry optimization was performed throughout. Transition states were directly located through minimization of the root mean square gradient and have been characterized through the computation of the force constant matrix. 2^2 For a transition state this matrix must have only one negative eigenvalue. All calculations were carried out with the AMPAC program.²³

General Experimental Procedures. The particular experimental conditions for each reaction are given in Table I. The dienophile **(2-4** mmol) and **1.5** equiv. of the diene **(1 M** solution of diene in CH_2Cl_2) were introduced, by means of a syringe, into a **1-, 3-,** or 4-mL Pyrex glass cell **(1.5-mm** wall thickness) fitted with a **1** mm inner diameter capillary orifice. *All* reactions were performed in a piston-cylinder high-pressure apparatus for pressures up to 20 kbar.²⁴ For reactions performed at temperatures higher than **20** "C, an external heating jacket was used. Cells were immersed in hexane, which was used **as** piezotransmitter liquid and was contained in the high-pressure apparatus, this being closed on the bottom side with a steel stopper. Then the mobile piston **was ineerted,** and the whole assembly was placed between the pistons of a hydraulic press. The pressure was raised to an extent depending on the reaction conditions used in each case. After stabilization of the pressure, the heater was switched on, whereupon the temperature was raised to the values given in Table I for each reaction **(40-70** "C). The reaction mixture was kept under these conditions for a convenient time and then cooled to room temperature. After decompression the solvent was removed, and the residue was purified by flash column chromatography on silica gel (mixtures of hexane-ethyl acetate

as eluents) followed by distillation or recrystallization.

Cycloadditions **3,'' 9," 11," 15:** and **17,u are known compound8** prepared for the first time in our laboratories, under the reaction conditions described in Table I, **and** reported previously to this work. Details on yield and physical and spectroscopic **(IR, MS,** ¹H NMR, and ¹³C NMR) data are given in the references cited.

Methyl (E, E) - and (E, Z) -5-(methylthio)penta-2,4-dienoate **(20):** entries **12** and **13,** Table I. To a stirred solution of **[(methylthio)methyl]triphenylphoephonium** chloride% **(4.1 g, 11.4** mmol) in **35** mL of THF, **16** mL of ether, and **4** mL of hexamethylphosphoric triamide at 0 °C, was added under N₂ a 2.5 **M** solution of n-BuLi in hexane **(4.6 mL, 11.5** mmol). The resulting mixture was warmed to room temperature and stirred for an additional **1** h. To this solution, cooled at 0 "C, was added methyl (E) -4-oxo-2-butenoate²⁶ (1.3 g, 11.4 mmol). After stirring overnight at **20** "C, water **(5 mL)** was added and the aqueous phese was extracted with ether $(3 \times 50 \text{ mL})$. The combined organic extracts were dried (MgSO₄), and the solvents were removed under reduced pressure. The residue was taken up **into** hexane, and triphenylphosphine oxide was filtered off. Solvent was removed, and the crude product was flash chromatographed on silica gel **(41** hexane-ethyl acetate) to give **1.16** g **(64%)** of a **91** mixture of $(E.E)$ -20 and $(E.Z)$ -20, as a colorless solid, mp 41.5 °C after sublimation. The major stereoisomer is characterized by the following data: IR (KBr) **1715, 1625** cm-'; **90-MHz** 'H **NMR** (CDCI,) 6 **2.33 (3 H, a), 3.72 (3** H, **s), 5.70 (1** H, d, *J* = **15.3** Hz), 6.06 (1 H, dd, $J = 14.7$ Hz, $J' = 10.8$ Hz), 6.80 (1 H, d, $J = 14.7$ *Hz),* **7.26 (1 H,** dd, J ⁼**15.3** Hz, **J'= 10.8** *Hz);* **63-MHz** *'8c* **NMR** (CDCla 6 **14.54,51.23,116.50,122.16,139.81,143.40,167.68.** *Ad* Calcd for C₇H₁₀O₂S: C, 53.16; H, 6.33; S, 20.25. Found: C, 53.02; **H, 6.39; S, 20.08.**

(1 R **,2S* ***,6R** *) **-2-** [**(Trimet hylsily 1)o.y]bicycle[4.3.01non-3-en-9-one (5):** entry **2,** Table I; yield, **334** mg **(70%);** bath temperature 30 °C (0.01 Torr); IR (film) 1745, 1655 cm⁻¹; MS, m/e (relative intensity) **224 (M, 9.4), 209 (60.8), 142 (62.7),127 (79.2), 73 (100.0); 2WMHz 'H** *NMR* (C& *6* **0.1 (9** H, **s), 1.47-223** $(8 \text{ H, complex absorption})$, $4.30 \text{ (H}_2, \text{ddd}, J_{1,2} = 5.0 \text{ Hz}, J_{2,3} = 4.5$ Hz, $J_{2,4} = 1.0$ Hz), 5.55 (H₄, ddt, $J_{3,4} = 10.0$ Hz, $J_{4,5} = 4.0$ Hz, $J_{2,4} = 1.0$ Hz), 5.67 (H₃, ddt, $J_{3,4} = 10.0$ Hz, $J_{2,3} = 4.5$ Hz, $J_{3,5} = 2.0$ $H = 1.0 \text{ Hz}$); $3.67 \text{ (H}_3, 0.07, 0.8, 4 = 10.0 \text{ Hz}$, $v_{2,3} = 4.5 \text{ Hz}$, $v_{3,5} = 2.0$
 $H = 2.1 \text{ Hz}$, $32.45, 39.03, 26.21, 28.44, 32.45, 39.03,$
 $F = 2.0 \text{ Hz}$, $F = 2.0 \text{ Hz}$ 50.35, 62.38, 127.19, 127.90, 219.89. Anal. Calcd for C₁₂H₂₀O₂Si: C, **64.26; H, 8.99.** Found C, **64.00; H, 9.03.**

Methyl (1R*,2S *,5S *,6R *,9S*)-3-ethy1-5-methoxy-Smethyl-7-oxo-8-oxabicyclo[4.3.0]non-3-ene-2-carboxylate (13): entry **8,** Table I; yield, **200** mg **(65%);** bath temperature **120** OC (0.05 Torr); IR **(film) 1780,1735** *cm-';* **MS,** *m/e* (relative intensity) **Hz**), **2.05** (**2 H**, **m**), **2.67** (**H**₁, dd, $J_{1,9} = 9.4$ **Hz**, $J_{1,2} = J_{1,6} = 8.7$ 268 (M, 13), 196 (100), 139 (65), 105 (95), 91 (76); 250-MHz ¹H **NMR** (CDCl₃) δ 1.03 (3 **H**, **t**, $J = 7.4$ **Hz**), 1.30 (3 **H**, d _{*i*} $J = 6.0$ Hz), 2.83 $(\text{H}_6, \text{dd}, J_{1,6} = 9.0 \text{ Hz}, J_{5,6} = 5.5 \text{ Hz})$, 3.36 $(3 \text{ H}, 8)$, 3.46 **(H2,** dd, *J1.2* = **8.7** Hz, **J2,4** = **1.5** *dz),* **3.71 (3 H, E), 4.04** (H6, dd, $J_{5,6} = J_{4,5} = 5.5$ Hz), 4.70 **(H₉**, **dq,** $J_{1,9} = 9.4$ **Hz,** $J_{9,10} = 6.0$ **Hz),** (CDCl₃) δ 11.8, 20.5, 28.1, 41.8, 43.6, 45.2, 52.1, 57.2, 70.6, 78.9, **9.4** Hz, *Jg* 5.97 (H₄, dd, $J_{4,5} = 5.5$ Hz, $J_{2,4} = 1.5$ Hz); $20\text{-}MHz$ ¹³C NMR 121.0, 140.8, 171.8, 175.1. Anal. Calcd for C₁₄H₂₀O₅: C, 62.92; H, **7.11.** Found: C, **62.77; H, 7.33.**

(1R *,2S *,3S *,6R *)-3-(Methoxycarbonyl)-6-(methylthio)-4-cyclohexene-l,2-dicarboxylic acid anhydride (22): entry **14,** Table I; yield, **143** mg **(98%);** mp **164** "C (from ether); **IR (KBr) 1855,1785,1750,1670** *cm-';* **2OO-MHz** 'H **NMR** (CDCld Hz), 3.76 (H₁, dd, $J_{1.6} = 10.0$ Hz, $J_{1.2} = 7.6$ Hz), 3.82 (3 H, s), 3.98 (H₂, dd, $J_{2.3} = 10.0$ Hz, $J_{1.2} = 7.6$ Hz), 6.08 (H₂, ddd, $J_{4.6} = 9.6$ Hz, $J_{56} = 4.0$ Hz, $J_{35} = 2.5$ Hz), 6.40 (H₄, ddd, $J_{45} = 9.6$ Hz, $J_{34} = 4.0$ Hz, $J_{46} = 2.4$ Hz); 63-MHz ¹³C NMR (CDCl₃) δ 16.55, 39.22, **40.67,43.14,44.36,52.70, 127.04, 131.51, 168.80, 169.65, 170.62; HRMS** calcd for Cl1Hl2ObS *m/e* **256.0405,** found **256.0407.** The proton and the *'3c* **NMR** spectra are available **as** supplementary material for this work. δ 2.25 (3 H, s), 3.35 (H₃, ddd, $J_{2,3} = 10.0$ Hz, $J_{3,4} = 4.0$ Hz, $J_{3,5} = 2.5$ Hz), 3.49 (H₉, ddd, $J_{1,6} = 10.0$ Hz, $J_{5,6} = 4.0$ Hz, $J_{4,6} = 2.4$
= 2.5 Hz), 3.49 (H₉, ddd, $J_{1,6} = 10.0$ Hz, $J_{5,6} = 4.0$

(1R *,2R *,5S *,6S *,9S*)-2-(Methoxycarbony1)-5,9-dimethyl-&oxabicyclo[4.3.O]non-3-en-7-one (24): entry **15,** Table I; yield, **200** mg **(27%);** mp **108** "C (from diisopropyl ether); IR

⁽²²⁾ McIver, J. W.; Komomicki, A. *J. Am. Chem.* **Soc. 1972,94,2625. (23) Dewar, M. J. S.; Stewart, J. J. P.** *QCPE* **1986,6,24, QCPE Pro gram 506.**

⁽²⁴⁾ Jurczak, J.; Chmielewski, M.; Filipek, S. *Synthesis* **1979, 41.**

⁽²⁶⁾ Wittig, G.; Schloeeer, M. *Chem. Eer.* **1961,94,1373. (26) Stotter, P. L.; Eppner, J. B.** *Tetrahedron Lett.* **1979,2417.**

(CHCQ **1760,1730,1660,** cm-l; MS, *m/e* (relative intensity) **224 (M, 3.91, 152 (43.3, 121 (43.81, 93 (100.0);** 250-MHz 'H NMR **(H6,** m), **2.98** (HI, **HB,** m), **3.01** (Hz, m), **3.72 (3** H, **s),4.07** (&, m), (CDClJ **6 1.24 (3** H, d, *J* **7.4** *Hz),* **2.29 6.3** *Hz),* **1.36 (3** H, d, *J* **5.84** (H4, ddd, *Js,4* = **9.3** Hz, *J'=* **3.4** Hz, *J"* **3.0** Hz), **6.14** (Hs, ddd, $J_{3,4} = 9.3$ Hz, $J' = 3.3$ Hz, $J'' = 2.6$ Hz); 63-MHz ¹³C NMR (CDCl₃) δ 16.3, 22.1, 30.5, 41.6, 44.0, 44.9, 51.7, 78.0, 125.6, 136.1, 172.2, 176.2. Anal. Calcd for C₁₂H₁₆O₄: C, 64.26; H, 7.19. Found: **C, 64.24; H, 7.25.**

Methyl $(1R^*2S^*5R^*6R^*)$ -5-methyl-7-oxobicyclo[4.3.0]non-3-ene-2-carboxylate (25): entry **16,** Table I; yield, **273** mg **(20%);** bath temperature **100 "C (0.01 Torr); IR (film) 1730** (br), **1660** cm-l; MS *m/e* (relative intensity) **208** (M, **22.3), 148 (37.9), 106 (37.8), 105 (100.0), 93 (85.2);** the structure of adduct 25 has been unambiguously established by using ¹H-¹H and ¹H-¹³C 2D NMR spectroscopy; 250-MHz ¹H NMR (CDCl₃) δ 0.96 (3 H, d, $J = 7.5$ Hz), 1.50 (H₉, m), 1.73 (H₉, m), 2.00 (H₈, H₉, m), 2.29 (H_5, m) , **2.44** $(H_6, dd, J_{1,6} = J_{5,6} = 8.0 \text{ Hz})$, **2.80** $(H_1, dddd, J_{1,6}$ = 6.6 Hz, $J_{18} = 3.2$ Hz, $J_{24} = 2.5$ Hz), 3.61 (3 H, s), 5.63 (H₃, ddd, $J_{3,4} = 10.0$ Hz, $J_{2,3} = 3.2$ Hz, $J_{2,4} = 2.5$ Hz), 3.61 (3 H, s), 5.63 (H₃, ddd, $J_{3,4} = 10.0$ Hz, $J_{2,3} = 3.2$ Hz, $J_{3,5} = 3.1$ Hz), **23.8, 28.1, 36.8, 38.5, 41.4, 48.7, 51.5, 122.9, 133.5, 172.9, 219.3;** HRMS calcd for ClzHleOs *m/e* **208.1099,** found **208.1087.** This **9.6 Hz,** $J_{1,6} = J_{1,9} = 8.0$ Hz, $J_{1,2} = 6.6$ Hz), 3.20 (H₂, ddd, $J_{1,2}$) **10.0 Hz,** $J_{2,4} = J_{4,5} = 2.5$ **Hz**); **63-MHz** ¹³C **NMR** (CDCl₃) δ 18.1, **63-6**

compound is accompanied by *c&* **15** % of an unidentified isomer ⁽¹H and ¹³C NMR). The proton and ¹³C NMR spectra are available **as** supplementary material for this work.

Methyl $3-(1R^*2R^*)$ - and $-(1S^*2R^*)-2$ -(methoxy**carbonyl)cyclohex-3-en-l-yl]-(E)-propenoate** (27): entry **17,** Table I; yield, **34** mg, **(8%);** IR (film) **1740** (sh), **1730,1660,1655** (sh) cm-'; **250-MHz** 'H **NMFt** (CDCIS) **6 1.9 (1** H, m), **1.96 (1** H, m), **2.11 (2** H, m), **2.83 (1** H, m), **3.30 (1** H, m), **3.64 (3** H, **a), 3.70 (3** H, **s), 5.86 (3 H,** m), **7.00 (1** H, dd, *J* = **15.75** Hz, *J'=* **8.0** Hz); **118.7, 125.5, 144.7, 162.5, 168.2.** This compound has been previously described by House.²⁷ *63-MHZ* 'BC *NMR* (CDClJ 6 **16.7,20.7,33.8,40.4,47.0,47.3,117.3,**

Acknowledgment. Financial support from DGICYT, Spain (Project **PB88-0241),** and "Accibn Integrada Hispano-Francesa" **(1989** and **1990)** is gratefully acknowledged.

Supplementary Material Available: Copy of HRMS for 25 and copies of **'*C** and 'H NMR spectra for 22 and 25 **(5** pages). Ordering information is given on any current masthead page.

(27) How, H. *0.;* **Raamwon, G. H.** *J. Org. Chem.* **1969, 28, 27.**

Stereochemical Control in Reactions of Nucleophiles with Oxocarbenium Ions Formed by Intramolecular Opening of Activated Epoxides by Neighboring Carbonyl Groups

Christopher **H.** Fotsch and **A.** Richard Chamberlin*

Department of Chemistry, University of California, Irvine, California 92717

Received November 12,1990

Tandem cyclization/reduction and cyclization/alkylation processes for the stereoselective synthesis of **2,5** disubstituted tetrahydrofurans, 2,6-disubstituted tetrahydropyrans, and 2,7-disubstituted oxepanes are described. In the presence of Lewis acids or TMSOTf, γ , δ -, δ , ϵ - and ϵ , ζ -epoxy ketones and esters undergo cyclization to the corresponding oxocarbenium ions, which react in situ with a variety of organosilanes and organoaluminum reagents to give the substituted oxacyclic products. For the synthesis of substituted tetrahydrofurans, the stereochemical control of the addition process was much higher with TMSOTf than BF₃.OEt₂.

Introduction

The synthesis of functionalized tetrahydrofuran and tetrahydropyran rings **has** received considerable attention because of the many biologically active compounds that contain these ring systems.' The polyether antibiotics, which perhaps best exemplify this group, contain an array of tetrahydrofuran and tetrahydropyran rings interconnected at the carbons adjacent to the oxacyclic ring oxygens? The synthesis of these ionophores **has** spawned the development of many methods for the synthesis of 2,5 disubstituted tetrahydrofurans and 2,6-disubstituted tetrahydropyrans.³ For instance, the synthesis of one tetrahydrofuran ring of lasalocid A relies on the acid-induced ring closure of an epoxy alcohol¹⁴ prepared by hydroxyldirected epoxidation of the corresponding bishomoallylic alcohol (eq 1). In this case the ratio of the tetrahydrofuran diastereomers is determined in the epoxidation step, which requires good long-range acyclic stereochemical control. **An** altemative strategy that avoids this requirement makes use of C-glycosylation techniques (eq $2^{\int 3i,k,l}$ to join one

⁽¹⁾ *Polyether Antibiotics;* **Weetley,** J. *W.,* **Ed.; Marcel Dekker: New** York, 1982. Chemical Structures of Interest to the Division of Cancer Treatment, Vol. VI, Compounds in Development-Drugs with Clinical Activity, Lomax, N. Ristayanan, V. L.; National Cancer Institute, Washington, D. C., 19

S., Ed.; Academic Press: Orlando, 1984; pp 127–198.

(2) Wierenga, W. In *The Total Synthesis of Natural Products*; Apsimon, J., Ed.; John Wiley and Sons: New York, 1981; pp 287–351.

Westly, J. W. In Antibiotics, Chemothe *Agents for Disease Control;* **Grayeon, M., Ed.;** John **Wdey and Sone: New York, 1982; pp 301-318.**

⁽³⁾ For a general review, see: (a) Boivin, T. L. B. Tetrahedron 1987, 43, 3309. Semple, J. E.; Joullie, M. M. Heterocycles 1980, 14, 1825. For recent examples of cyclization techniques see: (b) Tamooka, K.; Matsu-zawa, K.; (c) Labelle, M.; Guindon, Y. J. Am. Chem. Soc. 1989, 111, 2204. (d)
Nicolaou, K. C.; Hwang, C. K.; Nugiel, D. A. J. Am. Chem. Soc. 1989, 111,
4136. (e) Semmelhack, M. F.; Zhang, N. J. Org. Chem. 1989, 54, 4483.
(f) McCormi J. Org. Chem. 1989, 54, 4485. (g) Bartlett, P. A.; Chapuis, C. J. Org.
Chem. 1986, 51, 2799. (h) Bartlett, P. A.; Ting, P. C. J. Am. Chem. Soc.
1984, 106, 2668. For recent examples of noncyclization techniques see:
(i) Mar